

No. NEC-17/10/2021-O/o US(SS)
Government of India
Ministry of Development of North Eastern Region

Vigyan Bhawan Annexe, New Delhi
Dated 5th May, 2021

To

The Secretary,
North Eastern Council,
Nongrim Hills,
Shillong

Subject: Amendment in NEC General Guidelines, 2020

Sir,

I am directed to refer to the above mentioned subject and to say that after careful consideration of all the facts and keeping in view the advice of DoE in OM No. 72(04)/PF-II/2017 dated 13.04.2021, it has been decided with the approval of competent authority that the procedures/mechanism/authorities laid down by Ministry of Finance, Department of Expenditure contained in their O.M. No. 24(35)/PF-II/2021 dated 05.08.2016, as amended from time to time, shall be followed for appraisal/approval of projects/sub-schemes costing above Rs. 15 crore under Schemes of NEC.

2. Accordingly, keeping in view the existing delegation of powers to Secretary NEC for appraisal/approval of projects under Schemes of NEC, the competent authority is pleased to prescribe the following Appraisal and Approval Authorities for the Projects/sub-schemes under "Schemes of NEC". Accordingly, the relevant Clauses under the NEC General Guidelines 2020, in particular Clauses 9 and 10, stand modified to that extent and may be substituted with the following :

Para 9.1 : NEC shall follow an SFC Mechanism for appraisal of the projects/sub-schemes costing between Rs 5-15 Crores.

Para 9.2 : The procedure/mechanism/authorities laid down by Ministry of Finance, Department of Expenditure contained in their O.M. No. 24(35)/PF-II/2021 dated 05.08.2016, as amended from time to time, shall be followed for appraisal/approval of projects/sub-schemes costing above Rs. 15 crore under Schemes of NEC

Para 9.3 : Designated authorities for appraisal and approval of projects/sub-schemes under "Schemes of NEC" shall be as following :

Designated appraisal and approving authorities for projects/sub-schemes (hereinafter referred to as projects) under "Schemes of NEC"

Sl. No.	Description	Appraisal Authority	Approving Authority	Remarks
1.	For Projects Upto Rs 5 cr.	FA of NEC	Secretary NEC	The procedure for prioritizing of projects by SEC of NEC as per

2.	For Projects above Rs 5 cr, but upto Rs 15 cr.	SFC Chaired by Secretary, NEC	Secretary NEC	NEC Guidelines shall be followed before appraisal and approval. Thereafter, concerned division of NEC will process the project proposal(s) on merits, and if found in order, will place before the FA/SFC chaired by Secretary NEC for appraisal. SFC will have representative from M/o Finance, M/o DoNER and Line Ministries. The Planning Adviser, Financial Adviser, Economic Adviser (E&M) and concerned Sector Head of NEC as members.
3.	For Projects above Rs 15 cr, but upto Rs 100 cr.	JS&FA, M/o DoNER	Secretary, DoNER	M/o After following the procedure for prioritization of projects by SEC of NEC, proposals from NEC will be sent to the PD of M/o DoNER with the approval of Secretary, NEC for Appraisal and Approval. If PD, after doing a preliminary scrutiny of the proposal, finds it in order, will send the same to JS&FA/IFD M/o DoNER for appraisal by JS&FA. If considered necessary, JS&FA may seek comments of NITI Aayog/Concerned Line Ministries/Technical wing proposals. IFD M/o DoNER will render the necessary secretariat assistance

				in this regard.
4.	For Projects above Rs 100 cr, but upto Rs 500 cr.	SFC/DIB chaired by Secretary, M/o DoNER,	Minister, DoNER	M/o After following the procedure for prioritization of projects by SEC of NEC, proposals from NEC will be sent to the PD of M/o DoNER with the approval of Secretary, NEC for Appraisal and Approval. If PD, after doing a preliminary scrutiny of the proposals, finds it in order, will send the same to NITI Aayog/concerned Line. Ministries/IFD/Technical Wing M/o DoNER etc for comments/inter-Ministerial consultations, and place it before the SFC along with such comments as per instructions issued by D/o Expenditure and M/o DoNER from time to time.
5.	For Projects above Rs 500 cr, but upto Rs 1000 cr.	EFC/PIB Chaired by Secretary, Expenditure, except departments/Schemes/projects for which special dispensation has been notified by the competent authority.	Minister DoNER and Finance Minister, except where special powers have been delegated by the Finance Ministry.	M/o After following the procedure for prioritization of projects by SEC of NEC, proposals from NEC will be sent to the PD of M/o DoNER with the approval of Secretary, NEC for Appraisal and Approval. If PD, after doing a preliminary scrutiny of the proposal, finds it in order, will send the same to NITI Aayog/Concerned Line. Ministries /IFD /Technical Wing M/o DoNER etc for comments/inter-Ministerial
6.	For Projects above Rs 1000 cr.		Cabinet/Committee of the Cabinet concerned with the subject.	

				consultations and there after, PD will send it to DoE for placing before the EFC along with such comments as per instructions issued by D/o Expenditure and M/o DoNER from time to time.
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Note: In all cases under SL. No. 1 and 2 above, AFS will be vetted by and sanctions issued with the concurrence of FA, NEC. In cases under SL. No. 3 to 6, AFS will be vetted by and sanctions issued with the concurrence of JS&FA, M/o DoNER before its formal issuance by NEC

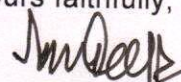
Para 10 : All small projects costing upto Rs 5 Crores shall also be processed in the same spirit as projects between Rs 5-15 Crores. The appraisal and approval procedure/mechanism/authority for projects up to Rs 5 Crores shall be as given under para 9 above.

3. Appraisal/Approval procedure under other schemes implemented by NEC (like NERSDS) will be governed by Schemes Specific Guidelines.

4. NEC is requested to take further appropriate action in the matter for issuance of the above mentioned amendment under the NEC General Guidelines, 2020 on priority basis and convey to all NE States and all concerned. The other contents of the Guidelines remain unchanged.

5. This issues with the approval of the Hon'ble MOS(I/C), MDoNER.

Yours faithfully,



(Sandeep Singh)

Under Secretary to the Govt. of India

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Copy to:

1. OSD to Hon'ble MOS (I/C), MDoNER
2. PPS to Secretary, MDoNER
3. JS&FA, MDoNER
4. Deputy Secretary, IFD&BDG, MDoNER